

AUG 14 2007

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**BLAKELY  
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TAYLOR &  
ZAFMAN**

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**FACSIMILE TRANSMITTAL SHEET (TRANSMITTAL TO USPTO)**

Deliver to: Examiner Elmira Mehrmanesh  
Firm Name: U.S. PATENT AND TRADEMARK OFFICE  
Fax Number: (571) 273-8300 Telephone No.: (571) 272-2495  
From: Mark R. Hennings, Reg. No. 48,982  
Date: August 14, 2007 Time: \_\_\_\_\_  
Operator: Steven E. Welch Matter: 42P18588  
Number of pages including cover sheet: 20  
In Re Patent Application of: Michael Rothman  
Application No.: 10/796,494 Confirmation No.: 3045  
Filed: March 9, 2004 Art Unit: 2113  
For: SYSTEM AND METHOD TO IMPLEMENT A ROLLBACK MECHANISM  
FOR A DATA STORAGE UNIT

Enclosed are the following documents:

- ☒ Amendment Transmittal, in duplicate (4 pages)
- ☒ Amendment After Final Action (15 pages)

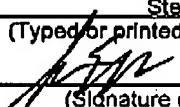
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AUG 14 2007

Attorney's Docket No.: 42P18588

Patent

In re the Application of: Michael Rothman  
(inventor(s))**AMENDMENT UNDER  
37 C.F.R. § 1.116  
EXPEDITED PROCEDURE**Application No.: 10/796,494**EXAMINING GROUP 2113**Filed: March 9, 2004For: SYSTEM AND METHOD TO IMPLEMENT A ROLLBACK MECHANISM FOR A DATA STORAGE UNIT  
(title)Mail Stop AF  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450SIR: Transmitted herewith is an **Amendment After Final Action** for the above-referenced application.

Applicant claims small entity status. See 37 CFR 1.27.

☒ **No additional claims fee is required.**

A Notice of Appeal is enclosed.

The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)		SMALL ENTITY			OTHER THAN A SMALL ENTITY		
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra		Rate	Additional Fee		Rate	Additional Fee	
Total Claims	28	Minus	** 33	0		X25	\$		X50	\$ 0	
Indep. Claims	5	Minus	*** 6	0		X100	\$		X200	\$ 0	
<div></div>	First Presentation of Multiple Dependent Claim(s)						+180	\$		+360	\$
* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.						Total Add. Fee	\$		Total Add. Fee	\$ 0	
** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.											
*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.											

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\_\_\_\_\_ A check in the amount of \$ \_\_\_\_\_ is attached for presentation of additional claim(s).  
\_\_\_\_\_ Applicant(s) hereby Petition(s) for an Extension of Time of \_\_\_\_\_ month(s) pursuant to  
37 C.F.R. § 1.136(a).

\_\_\_\_\_ A check for \$ \_\_\_\_\_ is attached for processing fees under 37 C.F.R. § 1.17.

\_\_\_\_\_ Please charge my Deposit Account No. 02-2666 the amount of \$ \_\_\_\_\_.

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☒ Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of extra claims.

☒ Any extension or petition fees under 37 C.F.R. § 1.17.

Date: \_\_\_\_\_

8/14/07

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

  
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Sunnyvale, California 94085-4040  
(206) 292-8600

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August 14, 2007 Date of Transmission	Steven E. Welch Name	<i>[Signature]</i> Signature	Aug. 14, 2007 Date

Attorney Docket No.: 42P18588

*Patent*  
**AMENDMENT UNDER 37 C.F.R. § 1.116**  
**EXPEDITED PROCEDURE**  
**EXAMINING GROUP 2113**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Rothman et al.

Application No.: 10/796,494

Filed: March 9, 2004

For: SYSTEM AND METHOD TO IMPLEMENT )  
A ROLLBACK MECHANISM FOR A )  
DATA STORAGE UNIT )

Examiner: Mehrmanesh, Elmira

Art Unit: 2113

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE AND AMENDMENT AFTER FINAL**

Madame:

In response to the Office Action mailed June 14, 2007, Applicants respectfully request the Examiner to enter the following amendments and consider the following remarks.

Attorney Docket No.: 42P18588  
Application No.: 10/796,494

1

Examiner: Mehrmanesh, Elmira  
Art Unit: 2113

AUG 14 2007

Attorney's Docket No.: 42P18588PatentIn re the Application of: Michael Rothman

(Inventor(s))

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EXPEDITED PROCEDUREApplication No.: 10/796,494EXAMINING GROUP 2113Filed: March 9, 2004For: SYSTEM AND METHOD TO IMPLEMENT A ROLLBACK MECHANISM FOR A DATA STORAGE UNIT  
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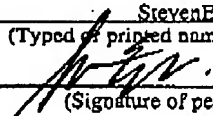
       Applicant claims small entity status. See 37 CFR 1.27.  X   No additional claims fee is required.       A Notice of Appeal is enclosed.

The fee has been calculated as shown below:

THIS SECTION MUST BE COMPLETED AND SUBMITTED WITH THIS APPLICATION										
	(Col. 1)		(Col. 2)	(Col. 3)		SMALL ENTITY			OTHER THAN A SMALL ENTITY	
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra		Rate	Additional Fee		Rate	Additional Fee
Total Claims	28	Minus	** 33	0		X25	\$		X50	\$ 0
Indep. Claims	5	Minus	*** 6	0		X100	\$		X200	\$ 0
<input type="checkbox"/>	First Presentation of Multiple Dependent Claim(s)					+180	\$		+360	\$
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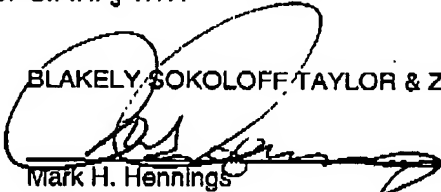
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